# 49 CFR Part 228 Passenger Hours of Service

### Title 49 CFR 228 SUBPART F

**COVERED EMPLOYEES** 

PROVISIONS CARRIED OVER FROM THE OLD LAW TO THE NEW REGULATIONS

NEW REQUIREMENTS

TYPE I AND TYPE II ASSIGNMENTS

FATIGUE ANALYSIS OF WORK SCHEDULES

TIME OFF REQUIREMENTS

REPORTING

TRAINING

OTHER HOS ISSUES

# COVERED EMPLOYEES

### 228.401 Applicability

 Train employees engaged in commuter or intercity rail passenger transportation, including train employees engaged in tourist, scenic, historic, or excursion rail passenger transportation

Does not apply to rapid transit not connected with the general railroad system

### 228.403 Definitions

Train employee engaged in commuter or intercity rail passenger transportation includes

Train employee engaged in commuter or intercity rail passenger transportation regardless of employer

Train employee employed by a commuter or intercity passenger railroad

### 228.403 Definitions

### **EXCLUDES**

- Train employee of another type of railroad (a freight railroad) in work train service related to providing commuter or intercity rail passenger transportation
- Train employee of another type of railroad (a freight railroad) serving as a pilot for a commuter railroad or intercity passenger railroad

# PROVISIONS CARRIED OVER FROM THE OLD LAW TO THE NEW REGULATIONS

Compliance date October 15, 2011

# 228.405 Limitations on duty hours ((§21103(a))

- (a) General. Except as provided in paragraph (c) of this section, a railroad and its officers and agents may not require or allow a train employee engaged in commuter or intercity rail passenger transportation to remain or go on duty—
- (1) Unless that employee has had at least 8 consecutive hours off duty during the prior 24 hours;
- (2) After that employee has been on duty for 12 consecutive hours, until that employee has had at least 10 consecutive hours off duty; or

# 228.405 Limitations on duty hours ((§21103(b))

- (b) Determining time on duty. In determining under paragraph (a) of
- this section the time that a train employee subject to this subpart is
- on or off duty, the following rules apply:
- (1) Time on duty begins when the employee reports for duty and ends
- when the employee is finally released from duty;
- (2) Time the employee is engaged in or connected with the movement
   of a train is time on duty;
- (3) Time spent performing any other service for the railroad during
- a 24-hour period in which the employee is engaged in or connected with
- the movement of a train is time on duty;
- (4) Time spent in deadhead transportation to a duty assignment is
- time on duty, but time spent in deadhead transportation from a duty
- · assignment to the place of final release is neither time on duty nor
- time off duty;

# 228.405 Limitations on duty hours ((§21103(b))

- > (5) An interim period available for rest at a place other than a
- designated terminal is time on duty;
- (6) An interim period available for less than four hours rest at a
- · designated terminal is time on duty; and
- (7) An interim period available for at least four hours rest at a
- place with suitable facilities for food and lodging is not time on duty
- when the employee is prevented from getting to the employee's
- · designated terminal by any of the following:
- (i) A casualty;
- (ii) A track obstruction;
- (iii) An act of God; or
- (iv) A derailment or major equipment failure resulting from a cause
- > that was unknown and unforeseeable to the railroad or its officer or
- agent in charge of that employee when that employee left the designated
- terminal.

# 228.405 Limitations on duty hours ((§21103(b))

- INITIATING AN ON DUTY PERIOD
  - o Duty must involve a covered service assignment
- RULES CLASS/DEADHEAD SEPARATE AND APART
  - o These are not covered service assignments and alone, separated from covered service by statutory off duty periods, do not constitute duty nor part of an on duty period.
  - As such reporting for a rules class and a deadhead alone does not constitute initiating an on duty period

# NEW PROVISIONS

Compliance date April 12, 2012

(April 12, 2013 for tourist, scenic, historic, or excursion operations)

### 228.5 Definitions

- > Type 1 assignment
  - On duty no earlier than 4 a.m. and released no later than 8 p.m. on the same calendar day, and otherwise in compliance with §228.405.
  - · Acceptable level of risk for fatigue
  - A Type 1 assignment becomes a Type 2 assignment if delayed into the midnight to 4 a.m. time period

### 228.5 Definitions

- ▶ Type 2 assignment
  - On duty at any time between 8:01 p.m. and 3:59 a.m.
  - Considered a Type 1 assignment if—
    - Doesn't violate the defined fatigue threshold of a scientifically valid biomathematical model
    - · Not on duty between midnight and 4 a.m.
  - Always a Type 2 assignment when there is any time on duty between midnight and 4 a.m.

### 228.407 Fatigue Analysis/Mitigation

 Railroads must perform an analysis of one cycle (the period within which the work schedule repeats) of type II work schedules

A plan must be developed and adopted by the railroad to mitigate potential fatigue for any work schedule identified as at risk

FRA will review submitted work schedules, proposed fatigue mitigation tools, and determinations of operational necessity

- (a)(3) In a series of at most 14 consecutive calendar days, in excess of the following limitations:
- (i) That employee's first series of at most 14 consecutive calendar days begins on the first calendar day that the employee initiates an on-duty period on or after the compliance date for this paragraph (a)(3), as specified in Sec. 228.413. A series of at most 14 consecutive calendar days either ends on the 14th consecutive day or may last for less than 14 days if an employee has accumulated a total of two calendar days on which the employee has not initiated an on-duty period before the beginning of the 14th day of the series. After the employee has accumulated a total of two calendar days on which the employee has not initiated an on-duty period, including at least 24 consecutive hours of duty as required by paragraph (a)(3)(3)(3) of this section, during the employee's current series of at most 14 consecutive calendar days which the employee days, a new series of at most 14 consecutive calendar days calendar days in which the employee each initiates an on-duty period. A calendar day in which an on-duty period was not initiated that occurred prior to the start of the new high an only period was not initiated an on-duty period.
- (iii) If the employee initiates an on-duty period each day on any six or more consecutive calendar days during the series of at most 14 consecutive calendar days, and at least one of the on-duty periods is defined as a Type 2 assignment, that employee must have at least 24 consecutive hours off duty prior to next initiating an on-duty period, exercing the paragraph (A13) of this section.
- (iii) If the amployee has initiated an on-duty period each day on 13 or more calendar days in the series of at most 14 consecutive calendar days on which the employee does not initiate an on-duty period prior to next initiating an on-dut period, except as provided in paragraph (a)(3)(v) of this section.
- (iv) The minimum time off duty required by paragraph (a)(3)(ii) of this section and the at least two consecutive calendar days in which the employee doe not initiate an on-duty period required by paragraph (a)(3)(iii) of this section must be at the employee's home terminal, and during such periods, the employee shall be unavailable for any service for any raifroad.
- (v) Paragraphs (a)(3)(ii)-(iii) of this section notwithstanding, if the employee is not at the employee's home terminal when time off duty is required by paragraph (a)(3)(ii) of this section or calendar days in which the employee does not initiate an on-duty period are required by paragraph (a)(3)(iii) of this section, the employee may either deadhead to the point of final release at the employee's home terminal or initiate an on-duty period in order to return to the employee's home terminal either on the same calendar day or the next consecutive calendar day after the completion of the duty tour triggering the requirements of paragraph (a)(3)(ii) of paragraph (a)(3)(iii) of this section.
- (vi) If the employee is required to have at least 24 consecutive hours off duty under paragraph (a)(3)(ii) of this section and not to initiate an on-duty
  period for at least two consecutive calendar days under paragraph (a)(3)(iii) of this section, both requirements shall be observed. The required periods rus
  concurrently, to the extent that they overlap.

### 228.405 Limitations on duty hours

- New rest day requirements will be defined using an "at most 14 consecutive calendar day series"
- The at most 14 consecutive calendar day series begins on the first calendar day the employee initiates an on-duty period on or after April 12, 2012

- At any time during the at most 14 day series an employee has two days of NOT initiating an on duty period, the 14 day series will reset
- A new 14 day series will begin when the employee next initiates an on duty period

Beginning of at most 14 day series Initiates **Initiates Initiates** Initiates Doesn't **Initiates** Initiates on duty on duty on duty on duty Initiate on duty on duty period period period period on duty period period period 14 11 12 13 10 Initiates Initiates Doesn't on duty on duty Initiate period period on duty period End of at most 14 day series

- ▶ Employee has fewer than two days of NOT initiating an on duty period in a 14 day series, the employee cannot perform any activity for the railroad for 2 consecutive calendar days at his/her home terminal before next reporting for duty as a passenger train employee.
- Employee may deadhead or initiate an on duty period to return to his/her home terminal if at an away from home terminal

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Initiates	Initiates	Initiates	Initiates	Initiates	Initiates	Initiates
on duty	on duty	on duty	on duty	on duty	on duty	on duty
period	period	period	period	period	period	period
Doesn't Initiate on duty period	Doesn't Initiate on duty period				End of at ´ most 14 day series	

- Employee initiates an on duty period for 6 or more consecutive days with at least one type II assignment, within the 14 day series, the employee must have 24 hours off at his/her home terminal before next reporting for duty as a passenger train employee.
- ▶ Employee may deadhead or initiate an on duty period to return to his/her home terminal if at an away from home terminal

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Doesn't Initiate on duty period	esn't Doesn't tiate Initiate duty on duty  Note: At the end of the duty tour on the 14th day, the							

### 228.11 Hours of duty records

- Requirements for all train employees apply except those specific to freight operations
- Records must also include
  - The date on which the 14 day series began, and
  - Date prior to the duty tour within the 14 day series when the employee did not initiate an on-duty period

### 228.411 Training

- Initial training shall be provided to current employees not later than December 31, 2012, and for new employees before the employee first works or not later than December 31, 2012, whichever occurs later
- refresher training shall be provided every three calendar years.
- Additional refresher training shall also be provided when significant changes are made to the railroad's fatigue mitigation plan
- A record of training shall be retained for three years

# FREIGHT NOT PASSENGER RESTRICTIONS

- ▶ 276 hour monthly maximum performing any activity for the railroad
- 30 hour monthly maximum for limbo time over 12 hours
- ▶ 6/7 consecutive days initiating an on duty period followed by 48/72 hours off duty
- Minimum 10 hour undisturbed statutory off duty period
- Time on duty and limbo time over 12 hours added to statutory off duty period

## THE END

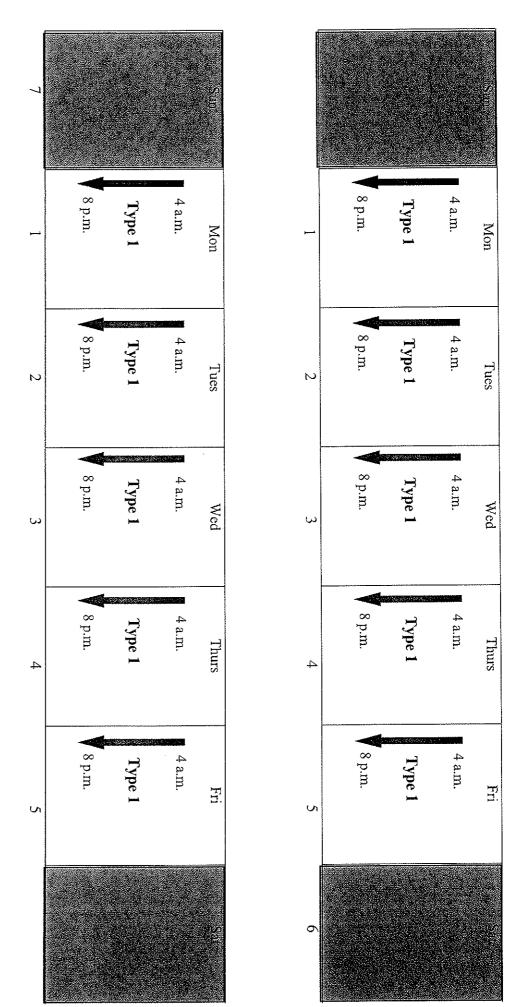
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may be your own! The job you save

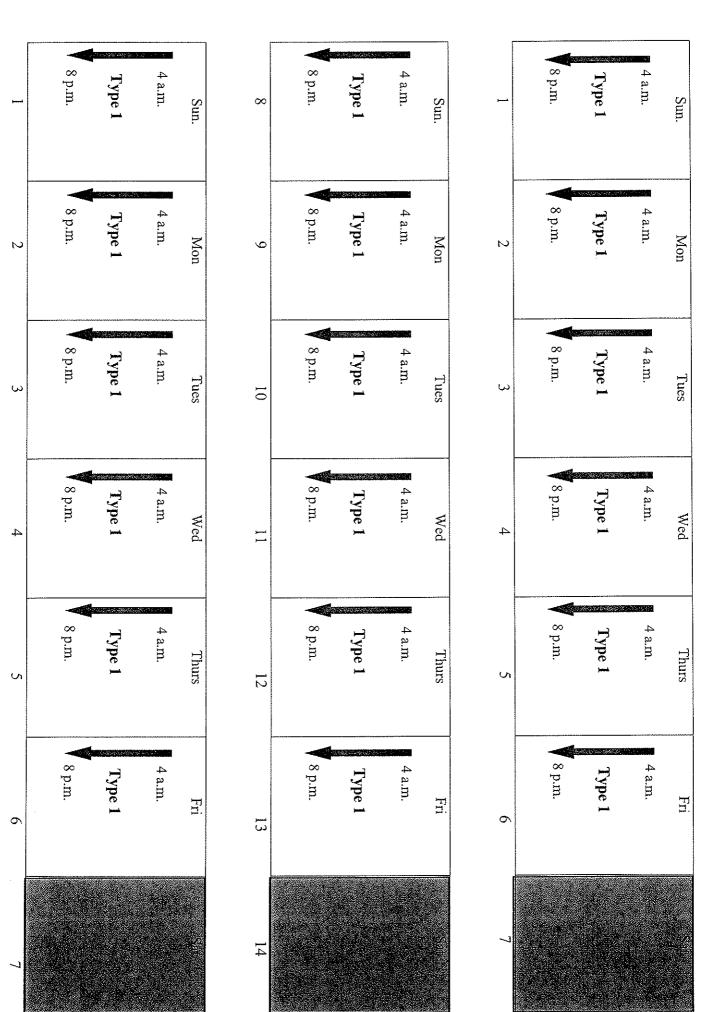




Type 1 - 5 Day Assignment



Type 1 - 6 Day Assignment



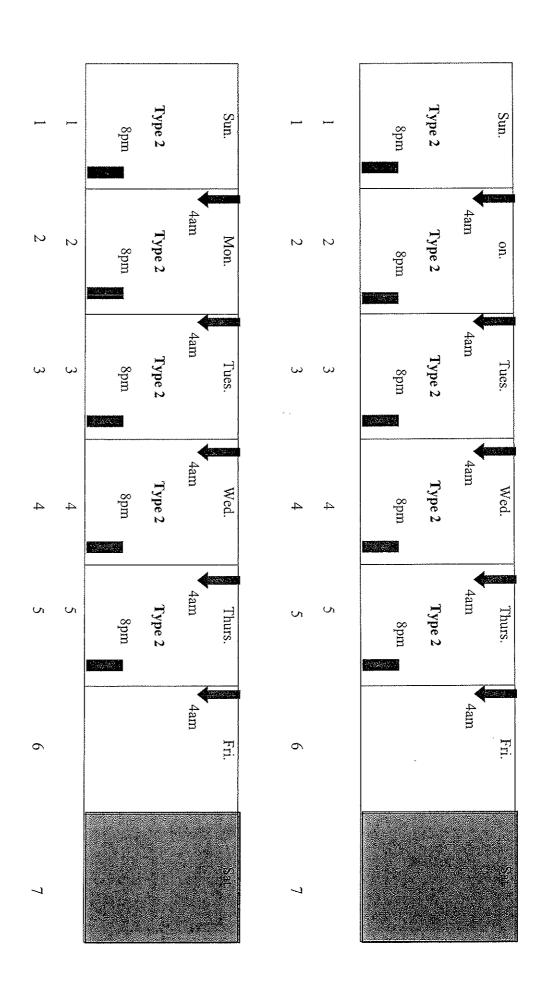
Type 2 - 5 Day Assignment

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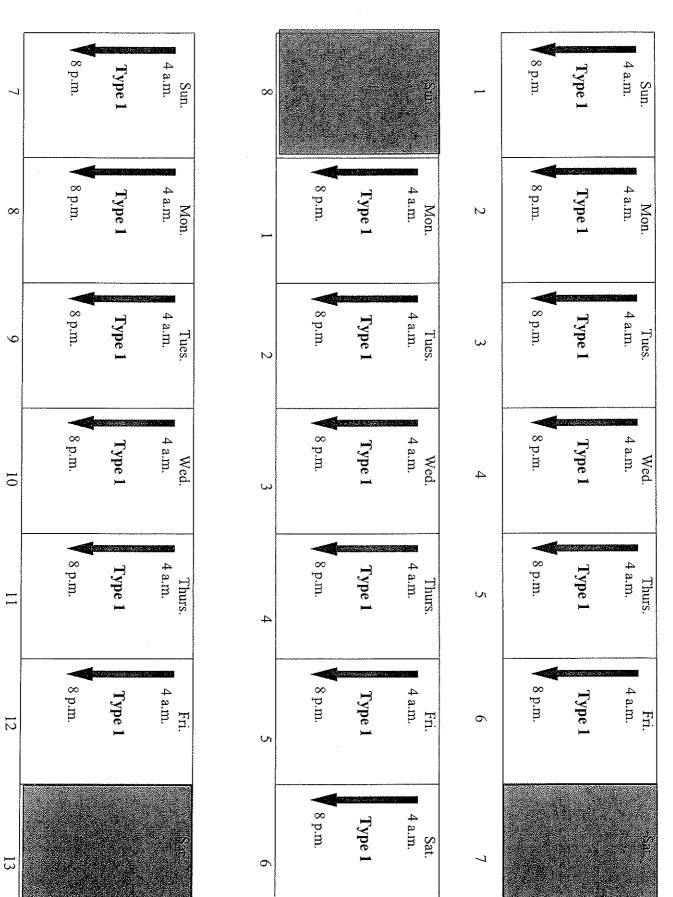
Type 2 - 6 Day Assignment

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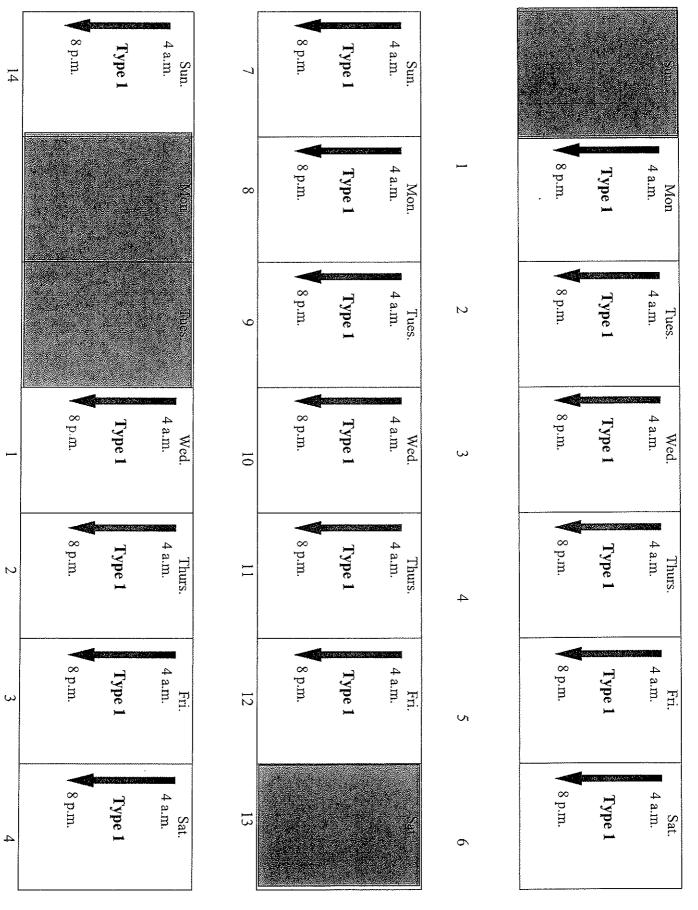
Type 2 – 5 Day Overnight Assignment



Type I, 6 Day Hold Down, Sat off 1st week - Sun off 2nd week - Sat off 3rd week



Type I, 6 Day Hold Down, Sun off 1st week, Sat off 2nd week, What happens in 3rd week?



Type II, 6 consecutive days, 24 Hours off duty W/O breaking consecutive 14 day count

15	Sun. See slides 9 & 9a To discuss Off duty/rest requirements	8	8 p.m.	Type 1	Sun. 4 a.m.	jound j—ret	Sun.	▼8 am	Type 2	12:01 am
16	Mon. See slides 9 & 9a To discuss Off duty/rest requirements	9	<b>₹</b> 8 p.m.	Type I	Mon. 4 a.m.	99	Mon.	▼ 8 am	Type 2	12:01 am
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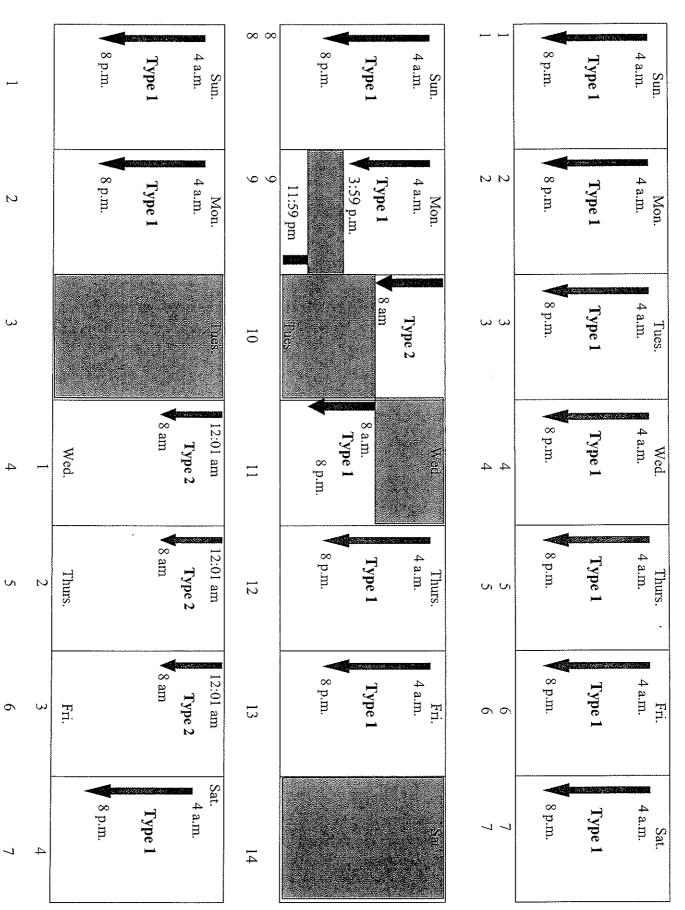
Type 2 -After 6<sup>th</sup> Consecutive Day & Rest after 13 Consecutive days

15 16	As of 10-01-2011 FRA interprets Rule to require this day w/o initiating an on duty period  As of 10-01-2011 FRA interprets Rule to require this day w/o initiating an on duty period	Sun. Mon.	9	4 p.m.	Type 1 Type 1	Sun. Mon. 4 a.m.	2	8 p.m. 8 p.m.	Type 1 Type 1	Sun. Mon. 4 a.m.
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Type 2 -After 6<sup>th</sup> Consecutive Day & Rest after 14 consecutive days

15	As of 10-01-2011 FRA interprets Rule to require this day w/o initiating an on duty period	Sun	∞ ∞	Type 1 8 p.m.	Sun. 4 a.m.		▼ 8 p.m.	Type I	Sun. 4 a.m.
16	As of 10-01-2011 FRA interprets Rule to require this day w/o initiating an on duty period	Mon.	9 9	Type I 4 p.m.	Mon. 4 a.m.	2	8 p.m.	Type 1	Mon. 4 a.m.
		Tues.	10	▼ 8 am	12:01 am <b>Type 2</b>	သ သ	<b>V</b> 8 p.m.	Туре 1	Tues. 4 a.m.
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		Fri.	13	Type 1	Fri. 4 a.m.	6	8 p.m.	Type 1	Fri. 4 a.m.
7.11		Sat.	14	Type 1	Sat. 4 a.m.	7	8 p.m.	Type I	Sat. 4 a.m.

Type 2 -After 6th Consecutive Days; 2 Days Off Within 14, W/O Initiating On-Duty Period



### **Determining Time on duty:**

### 49 CFR § 228.5 (Selected Definitions)

### On-duty time means -

The actual time that an employee reports for duty to begin a *covered service* assignment.

### Covered service assignmentmeans-

For a train employee, each unique assignment of the employee during a period of <u>covered service</u> that is associated with either a specific train or a specific yard job.

### Covered service means-

For a train employee, the portion of the employee's time <u>on duty</u> during which the employee is engaged in, or connected with, the movement of a train.

### Commingled service means-

For a train employee or a signal employee, any non-covered service <u>at the behest</u> <u>of the railroad</u> and performed for the railroad that is not separated from covered service by a qualifying statutory off-duty period of 8 or 10 hours or more. Such commingled service is counted as time <u>on duty</u> pursuant to 49 U.S.C. 21103(b)(3) (for train employees).

### At the behest of the railroadmeans-

Time spent by an employee in a railroad-required activity that compels an employee to perform service for the railroad as a condition of employment.

### Report-for-duty time means-

For a train employee, the actual time that the employee is required to be present at a reporting point and prepared to start a *covered service assignment*.

### Total time on duty (TTOD) means-

The total accumulation of time spent in periods of <u>covered service</u> and <u>commingled service</u> between qualifying statutory off-duty periods of 8 or 10 hours or more. <u>Mandatory activities that do not constitute covered service, such as rules classes, when they may not attach to covered service, are counted as limbo time, rather than commingled service, which limbo time is not counted toward the calculation of total time on duty.</u>

### 49 USC § 21103. Limitations on duty hours of train employees

### (b) DETERMINING TIME ON DUTY.—

In determining under subsection (a) of this section the time a train employee is on or off duty, the following rules apply:

- (1) Time on duty begins when the employee reports for duty and ends when the employeeis finally released from duty.
- (2) Time the employee is engaged in or connected with the movement of a train is time on duty.
- (3) Time spent performing any other service for the railroad carrier during a 24-hour period in which the employee is engaged in or connected with the movement of a train is time on duty.
- (4) Time spent in deadhead transportation to a duty assignment is time on duty, but timespent in deadhead transportation from a duty assignment to the place of final release is neither time on duty nor time off duty.
- (5) An interim period available for rest at a place other than a designated terminal is time on duty.
- (6) An interim period available for <u>less than 4 hours</u> rest at a designated terminal <u>is timeon duty.</u>
- (7) An interim period available for at least 4 hours rest at a place with suitable facilities for food and lodging is not time on duty when the employee is prevented from getting to the employee's designated terminal by any of the following:
  - (A) a casualty.
  - (B) a track obstruction.
  - (C) an act of God.
  - (D) a derailment or major equipment failure resulting from a cause that was unknown and unforeseeable to the railroad carrier or its officer or agent in charge of that employee when that employee left the designated terminal.